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8-11-2016

# Advancement & Communications Open Meeting Packet 08/11/2016

UC Hastings Board of Directors

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HASTINGS  
COLLEGE  
OF THE LAW

**ADVANCEMENT AND  
COMMUNICATIONS COMMITTEE  
MEETING**

**August 11, 2016**

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## NOTICE OF MEETING

The Advancement and Communications Committee of the University of California Hastings College of the Law Board of Directors will meet on Thursday, August 11, 2016.

EVENT: Meeting of the University of California,  
Hastings College of the Law Board of Directors  
Advancement and Communications Committee

DATE: Thursday, August 11, 2016

PLACE: UC Hastings College of the Law  
A. Frank Bray Board Room  
1-Mezzanine  
198 McAllister Street  
San Francisco, CA 94102

STARTING TIME: 10:30 a.m.

AGENDA: See Attached

*This notice is available at the following University of California, Hastings College of the Law website address: <http://www.uchastings.edu/board>*

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*For further information please contact Elise Traynum, Secretary of the Board of Directors, 198 McAllister Street, San Francisco, CA 94102, and (415) 565-4851. You are encouraged to inform Ms. Traynum of your intent to speak during the public comment period 72 hours in advance of the meeting.*

*The University of California, Hastings College of the Law subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary's Office by 10 a.m. on Monday, August 8, 2016, for accommodations.*



**UNIVERSITY OF CALIFORNIA  
UC HASTINGS COLLEGE OF THE LAW  
ADVANCEMENT & COMMUNICATIONS COMMITTEE**

**OPEN MEETING AGENDA**

Thursday, August 11, 2016  
10:30 a.m. to 11:45 a.m.  
UC Hastings College of the Law  
A.Frank Bray Board Room  
198 McAllister Street, 1-Mezzanine  
San Francisco, CA 94102

1. Roll Call

Chair Sandra Thompson  
Director Don Bradley  
Director Marci Dragun  
Director Mary Noel Pepys  
Director Courtney Power

2. Public Comment (Oral)

\*3. Approval of Minutes – May 12, 2016 (Written)

4. External Relations: Updates (Oral)

4.1 Fall 2016 UC Hastings Magazine (Oral)

4.2 UC Hastings Community Partnership (Oral)

4.3 UC Hastings in the News (Oral)

5. Building UC Hastings Campaign Update (Oral)

6. FY16 Final Fund-raising results (Written)

6.1 Fundraising Comparison Raised Report (Written)

6.2 Fundraising Comparison Received Report (Written)

7. Fiscal Year Outlook (Oral)

8. Staffing Update (Oral)

- 9. Review & General Recommendation Action for Slate of Appointment of the UC Hastings Foundation Trustees (Written)
  - 9.1 Biographies for each of the nominees (Written)
  - 9.2 Appointed/Elected Allocation Chart (Written)

10. Chancellor & Dean Search Update (Oral)

\*11. Adjournment

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

**Roll-Call**

Here    Absent

<input type="checkbox"/>	<input type="checkbox"/>	Chair Sandra Thompson
<input type="checkbox"/>	<input type="checkbox"/>	Director Donald Bradley
<input type="checkbox"/>	<input type="checkbox"/>	Director Marci Dragun
<input type="checkbox"/>	<input type="checkbox"/>	Director Mary Noel Pepys
<input type="checkbox"/>	<input type="checkbox"/>	Director Courtney Power

Start time: \_\_\_\_:\_\_\_\_ a.m.

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

**Public Comment Period**

This item is reserved for members of the public to comment on non-agenda and agenda items.

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

**ACTION ITEM:** Approval of Minutes: May 12, 2016





**UNIVERSITY OF CALIFORNIA  
HASTINGS COLLEGE OF THE LAW**

**ADVANCEMENT & COMMUNICATIONS COMMITTEE**

**MINUTES SUMMARY**

Thursday, May 12<sup>th</sup>, 2016 –10:30 a.m.  
UC Hastings College of the Law  
Office of the General Counsel  
A. Frank Bray Board Room, 1-Mezzanine  
198 McAllister Street, A. Frank Bray Board Room  
San Francisco, California 94102

**1. ROLL CALL**

Acting Chair Tom Gede called the meeting to order at 10:30 a.m. And, the Secretary called the roll.

Committee Members Present in Answer to Roll Call:

Chair Sandra Thompson  
Director Marci Dracun  
Director Courtney Power  
Director Donald Bradley  
Director Mary Noel Pepys

Staff Present:

Acting Chancellor & Dean David Faigman  
Chief Financial Officer David Seward  
General Counsel Elise Traynum  
Acting Provost & Academic Dean Evan Lee  
Chief Fundraiser Eric Dumbleton  
Professor Leo Martinez, 333 Golden Gate Campaign Chair  
Director of External Relations Alex Shapiro

Others Present:

Chair Tom Gede  
Director Claes Lewenhaupt (via Telephone)

**2. PUBLIC COMMENT**

Chair Sandi Thompson opened the Public Comment Period. Hearing no requests from the public to speak, she closed the Public Comment Period.

**3. APPROVAL OF MEETING MINUTES – FEBRUARY 11, 2016**

Chair Sandi Thompson called for approval of the February 11, 2016, Minutes. There being no corrections, additions or deletions to the Minutes, the Minutes were approved and ordered filed as distributed.

**4. EXTERNAL RELATIONS UPDATE**

Director of External Relations Alex Shapiro gave an update on external relations activities, including peer reputation or peer relations that have impact on *US News and World Report* rankings. He described his methodology for forming relationships with the media. He noted that rather than pitching the media stories or simply reacting when the media has questions, he wanted to give journalists stories that they could not get anywhere else about UC Hastings scholars and activities. As an example, he showed a two minute video that he made on Professor Dave Owen, a new faculty member whose scholarship is water law, and he pointed out how Professor Owen got the word out about his scholarship by blogging about water law. Director of External Relations Alex Shapiro emphasized positive media coverage as a result of posting the video where the scholar is telling a little about his scholarship, why he is excited about it, and why what he is doing matters.

As far as peer reputation, Director of External Relations Alex Shapiro reported that the College's score has been 3.1 out of a maximum of 5 points for the last three years. Forty percent of the College's rankings is based upon peer reputation. He emphasized how significant it is to the rankings to have the 800 people who will cast ballots in September and early October to recognize UC Hastings' reputation as a top rate law school with the College's faculty producing notable top rate scholarship. Acting Chancellor & Dean David Faigman will be writing to the cast of 800, to invite them to contact him with questions about the College.

Next, Director of External Relations Alex Shapiro informed everyone about the neighborhood relations for the three neighborhoods, the Civic Center, Market Street, and the Tenderloin. He spoke of the many upgrades and new businesses that have opened in the neighborhoods. This year, the College formed the UC Hastings Community Partnership that would focus on developing and maintaining positive relationships in the community. New External Relations Department employee Benjamin Ybarra will work with Alex Shapiro and David Seward on these efforts. Director of External Relations Alex Shapiro rolled out the new street pole banner program, "UC Hastings College of the Just and of the Law of the World." He noted that students and

administrator focus groups met with the advertising company to make sure that the new campaign would translate for prospective students into an institution that they would want to attend. Director of External Relations Alex Shapiro reported that he has completed the new website entitled, *Building UC Hastings* that highlights the activities related to the construction of the new academic building.

The Committee and Chair Sandi Thompson thanked Director of External Relations Alex Shapiro for his report. Chair Sandi Thompson commented that the Directors are the eyes and ears of UC Hastings and when they hear things about the College, they should feed them back to Alex Shapiro.

## **5. FUNDRAISING STATUS: 333 GOLDEN GATE AVENUE**

Professor Leo Martinez, 333 Golden Gate Campaign Chair, began his report by asking that all Directors give to the 333 Golden Gate Building Fund. He asked that the Directors be at 100% contribution rate by June 1. The target for the building fund is \$55 million. The fund may be used for the building, as well as other programmatic programs. Donors may earmark their contributions to brick and mortar or direct funds to specific things that are under the umbrella of the building fund.

The plan is to make significant rounds of asks after the June 1 date. He emphasized the significance of being able to report to prospective donors that the Directors and the alums of all of the College boards made contributions. Foundation Trustees have contributed \$620,000.00 to date. The Foundation goal is \$800,000.00

Professor Leo Martinez reported that six asks have been made at the seven-figure level. Of the six, one donor committed to a \$100,000.00 gift. Eighteen seven-figure asks will be made soon. Also, a graduate of 1990 gave a gift of \$200,000.00.

A lengthy discussion ensued on the number of naming opportunities available for the new academic building, including naming the proposed sky deck between 200 McAllister Street and the new building, as well as expressions of interest on the building footprint.

Chief Fundraiser Eric Dumbleton spoke about the pipeline he is building to forge relations with prospective donors with the help of Acting Chancellor & Dean David Faigman and Professor Leo Martinez. He noted that Dean Faigman and Professor Martinez are known through the alumni community which helps his credibility as the new person on the UC Hastings team.

Chief Fundraiser Eric Dumbleton spoke of the \$500.00 naming opportunity for bricks in the courtyard. The slogan is *Building UC Hastings Together Brick by Brick*.

## **6. STAFFING UPDATES**

Chief Fundraiser Eric Dumbleton introduced the newest member of his staff, Caroline Knowles, Director of Alumni Engagement. Ms. Knowles will be taking over the reunions and the UC Hastings Challenge.

## **7. FY 2015-2016 DEVELOPMENT REPORTS**

Chief Fundraiser Eric Dumbleton presented the fundraising report as distributed. He noted that the capital campaign for the new building is separate from the annual giving campaign. Language will be added to the College's pledge agreement to make it clear that a commitment to the building fund is separate from annual fund donations.

## **8. BUILDING UC HASTINGS FUND RESOLUTION-REVISION**

Chief Fundraiser Eric Dumbleton reported that the Harry Bronson & Edith R. Knapp Foundation made a contribution of \$75,000 to support the building fund. Further review of the building fund resolution adopted by the Board of Directors revealed that the terms of the resolution were too narrow to allow funding of all aspects of the long-range campus plan. Chief Fundraiser Eric Dumbleton presented an amendment to the resolution that would broaden the purpose of the building fund to encompass all aspects of the long range campus plan.

## **9. ADJOURMENT**

The Meeting was adjourned at 12:00 p.m.

**REPORT ITEM**

1.     **REPORT BY:**     Alex A. G. Shapiro, Chief Marketing Officer  
                              Communications & Public Affairs
  
2.     **SUBJECT:**       External Relations: Updates
  - 4.1    Fall 2016 UC Hastings Magazine
  - 4.2    UC Hastings Community Partnership
  - 4.3    UC Hastings in the News
  
3.     **REPORT:**        Oral

**REPORT ITEM**

1.     **REPORT BY:**                 Eric Dumbleton, Chief Development Officer  
   Institutional Advancement
  
2.     **SUBJECT:**                    Building UC Hastings – Campaign Update
  
3.     **REPORT:**                    Oral Report

**Background:**

A status on the strategy and fundraising effort related to the new building capital campaign.

**REPORT ITEM**

1.     **REPORT BY:**                     Eric Dumbleton, Chief Development Officer,  
  Institutional Advancement
2.     **SUBJECT:**                     FY2016 Development Reports:  
  6.1   Fundraising Comparison Raised Report  
  6.2   Fundraising Comparison Received Report
3.     **REPORT:**                     Written Reports

**Background:**

- A review of year-to-date reports highlighting FY16 fundraising progress.

**Attachments:**

- Fundraising Comparison Raised Report
- Fundraising Comparison Received Report

# UC Hastings Foundation

## *FY13-14, FY14-15 and FY15-16 Year-to-Date Raised Report*

	7/1/2013 to 6/30/2014					7/1/2014 to 6/30/2015						7/1/2015 to 06/30/2016					
	Total	Number of	Percentage of	Avg given	Largest	Total	Number of	Percentage of	Avg given	Largest	Variance	Total	Number of	Percentage of	Avg given	Largest	Variance
Restricted	given	Gifts	total given	per gift	Gifts	given	Gifts	total given	per gift	Gifts	Percentage	given	Gifts	total given	per gift	Gifts	Percentage
Capital & Facilities	\$0	0	0.00%	\$0	\$0	\$150	1	0.00%	\$150	\$150	0%	\$1,292,700	117	23.76%	\$11,049	\$500,000	861700%
Centers & Programs	\$2,379,954	433	37.98%	\$5,496	\$588,000	\$1,347,683	500	29.67%	\$2,695	\$328,456	-43.37%	\$1,351,360	638	24.84%	\$2,118	\$300,000	0%
Clinical Education	\$420,630	19	6.71%	\$22,138	\$410,000	\$27,388	14	0.60%	\$1,956	\$10,000	-93%	\$14,755	9	0.27%	\$1,639	\$5,000	-46%
Faculty	\$99,032	27	1.58%	\$3,668	\$45,000	\$46,355	16	1.02%	\$2,897	\$25,000	-53%	\$40,251	8	0.74%	\$5,031	\$26,085	-13%
Faculty Chair	\$5,000	1	0.08%	\$5,000	\$5,000	\$1,000,000	1	22.02%	\$1,000,000	\$1,000,000	19900%	\$1,750	2	0.03%	\$875	\$1,000	0%
Financial Aid	\$1,944,877	225	31.04%	\$8,644	\$475,000	\$897,025	223	19.75%	\$4,023	\$162,500	-54%	\$1,240,475	130	22.80%	\$9,542	\$500,000	38%
Library	\$40	1	0.00%	\$40	\$40	\$100	1	0.00%	\$100	\$100	150%	\$100	2	0.00%	\$50	\$50	0%
Miscellaneous (Suspense Account)	\$92,349	57	1.47%	\$1,620	\$48,400	\$48,238	39	1.06%	\$1,237	\$11,202	-48%	\$366,755	120	6.74%	\$3,056	\$150,000	660%
Student Organizations	\$46,420	240	0.74%	\$193	\$5,000	\$81,751	309	1.80%	\$265	\$5,000	76%	\$79,433	341	1.46%	\$233	\$5,000	-3%
<b>Subtotal:</b>	<b>\$4,988,301</b>	<b>1,003</b>		<b>\$4,973</b>		<b>\$3,448,690</b>	<b>1,104</b>		<b>\$3,124</b>			<b>\$4,387,579</b>	<b>1,367</b>		<b>\$3,210</b>		
<b>Unrestricted</b>	<b>\$1,277,775</b>	<b>2,398</b>	<b>20.39%</b>	<b>\$533</b>	<b>\$200,000</b>	<b>\$1,093,117</b>	<b>1,560</b>	<b>24.07%</b>	<b>\$701</b>	<b>\$75,000</b>	<b>-14%</b>	<b>\$1,052,323</b>	<b>1,538</b>	<b>19.34%</b>	<b>\$684</b>	<b>\$150,000</b>	<b>-4%</b>
<b>Subtotal:</b>	<b>\$1,277,775</b>	<b>2,398</b>		<b>\$533</b>		<b>\$1,093,117</b>	<b>1,560</b>		<b>\$701</b>			<b>\$1,052,323</b>	<b>1,538</b>		<b>\$684</b>		
<b>Total Raised:</b>	<b>\$6,266,076</b>	<b>3,401</b>	<b>100.00%</b>	<b>\$1,842</b>		<b>\$4,541,807</b>	<b>2,664</b>	<b>100.00%</b>	<b>\$1,705</b>		<b>-28%</b>	<b>\$5,439,902</b>	<b>2,905</b>	<b>100.00%</b>	<b>\$1,873</b>		<b>20%</b>

**Notes:** \*Cash and Pledges only



# UC Hastings Foundation

## *FY13-14, FY14-15 and FY15-16 Year-to-Date Received Report*

	7/1/2013 to 6/30/2014					7/1/2014 to 6/30/2015						7/1/2015 to 06/30/2016					
	Total	Number of	Percentage of	Avg given	Largest	Total	Number of	Percentage of	Avg given	Largest	Variance	Total	Number of	Percentage of	Avg given	Largest	Variance
Restricted	given	Gifts	total given	per gift	Gifts	given	Gifts	total given	per gift	Gifts	Percentage	given	Gifts	total given	per gift	Gifts	Percentage
Capital & Facilities	\$0	0	0.00%	\$0	\$0	\$150	1	0.00%	\$150	\$150	0%	\$204,700	102	4.47%	\$2,007	\$75,000	136367%
Centers & Programs	\$1,740,493	464	35.43%	\$3,751	\$483,970	\$1,819,841	530	35.58%	\$3,434	\$328,456	4.56%	\$1,476,504	660	32.25%	\$2,237	\$150,000	-19%
Clinical Education	\$112,735	20	2.30%	\$5,637	\$102,500	\$231,738	17	4.53%	\$13,632	\$102,500	106%	\$15,005	10	0.33%	\$1,501	\$5,000	-94%
Faculty	\$83,715	44	1.70%	\$1,903	\$29,583	\$63,773	30	1.25%	\$2,126	\$25,417	-24%	\$53,834	19	1.18%	\$2,833	\$26,085	-16%
Faculty Chair	\$1,100	1	0.02%	\$1,100	\$1,100	\$501,100	2	9.80%	\$250,550	\$500,000	45455%	\$252,850	4	5.52%	\$63,213	\$250,000	-50%
Financial Aid	\$1,604,504	269	32.67%	\$5,965	\$250,000	\$1,436,713	271	28.09%	\$5,302	\$250,000	-10%	\$1,117,222	185	24.40%	\$6,039	\$250,000	-22%
Library	\$40	1	0.00%	\$40	\$40	\$100	1	0.00%	\$100	\$100	150%	\$100	2	0.00%	\$50	\$50	0%
Miscellaneous (Suspense Account)	\$87,549	49	1.78%	\$1,787	\$48,400	\$52,915	40	1.03%	\$1,323	\$11,202	-40%	\$361,752	125	7.90%	\$2,894	\$150,000	584%
Student Organizations	\$58,446	253	1.19%	\$231	\$5,000	\$85,824	318	1.68%	\$270	\$5,000	47%	\$82,830	348	1.81%	\$238	\$5,000	-3%
<b>Subtotal:</b>	<b>\$3,688,582</b>	<b>1,101</b>		<b>\$3,350</b>		<b>\$4,192,154</b>	<b>1,210</b>		<b>\$3,465</b>			<b>\$3,564,797</b>	<b>1,455</b>		<b>\$2,450</b>		
<b>Unrestricted</b>	<b>\$1,223,394</b>	<b>2,547</b>	<b>24.91%</b>	<b>\$480</b>	<b>\$200,000</b>	<b>\$922,373</b>	<b>1,699</b>	<b>18.03%</b>	<b>\$543</b>	<b>\$50,000</b>	<b>-25%</b>	<b>\$1,014,103</b>	<b>1,683</b>	<b>22.15%</b>	<b>\$603</b>	<b>\$150,000</b>	<b>10%</b>
<b>Subtotal:</b>	<b>\$1,223,394</b>	<b>2,547</b>		<b>\$480</b>		<b>\$922,373</b>	<b>1,699</b>		<b>\$543</b>			<b>\$1,014,103</b>	<b>1,683</b>		<b>\$603</b>		
<b>Total Received:</b>	<b>\$4,911,976</b>	<b>3,648</b>	<b>100.00%</b>	<b>\$1,346</b>		<b>\$5,114,527</b>	<b>2,909</b>	<b>100.00%</b>	<b>\$1,758</b>		<b>4%</b>	<b>\$4,578,900</b>	<b>3,138</b>	<b>100.00%</b>	<b>\$1,459</b>		<b>-10%</b>

Notes: \*Cash and Pledge payments

**REPORT ITEM**

1.     **REPORT BY:**             Eric Dumbleton, Chief Development Officer  
Institutional Advancement
  
2.     **SUBJECT:**             Fiscal Year - Outlook
  
3.     **REPORT:**             Oral

**Background:**

Plan for the fiscal year 16-17.

**REPORT ITEM**

1.     **REPORT BY:**                 Eric Dumbleton, Chief Development Officer  
  Institutional Advancement
2.     **SUBJECT:**                   Development Staffing Updates
3.     **REPORT:**                   Oral

**Background:**

An update on staffing transitions and restructuring on staff responsibilities.

**ACTION ITEM**

- 1. REPORT BY:** Eric Dumbleton, Chief Development Officer, Office of Development and Alumni Engagement
- 2. SUBJECT:** Review & General Recommendation Action for Slate of Appointment of the UC Hastings Foundation Trustees

**3. RECOMMENDATION:**

Proposed action from the Board of Directors A&C Committee to accept and recommend the slate of FY16-19 Foundation Board of Trustees. The slate was voted on by the Foundation at its June 4, 2016 meeting, contingent on the full Board of Directors approval at the General Meeting on September 16, 2016.

**4. BACKGROUND:**

The following is the slate of new & renewing Trustees selected by the UC Hastings Foundation Nominating Committee: (See Attached)

(Please Note: A – Appointed; E – Elected)

Renewing Nominees

A – Frank W. Busch III '00  
E – Elizabeth England '78  
A – John D. Fiero '88  
E – Tom Gede '81  
E – Dennis Ghan '77  
A – Thomas H. Gibson III '75  
E – John Koeppel '76  
A – Deborah Lopez '83  
A – James Mahoney '66  
E – Leo Martinez '78  
E – Jane Pandell '81  
E – Basil Plastiras '75  
E – Alyce Rubinfeld-Fox '82  
E – Mai Shiver '92

New Nominees

A – Kevin Cholakian '81  
A – Dana Cole '79  
A – Sasha Cummings '97  
A – J. George Hetherington '78  
A – James J. Mullen III '97  
A – Yuri Vanitek '98

A total of 22 board positions were available for the FY16-19 roster. 21 positions' term expired in 2016 and one replacement seat due to an early resignation from Paul Salvaty '93 (term was to expire in 2018). Of the 22 board positions, 20 slots were filled.

Of the 22 board positions ending June 30, 2016, seven current Trustees are renewing for a consecutive second term as allowable under the Foundation bylaws. They are Frank Busch III '00, Elizabeth England '78, John Fiero '88, Dennis Ghan '77, Thomas Gibson '75, and Mai Shiver '92.

Former Trustees, John Koeppel '76, Deborah Lopez '83, Jim Mahoney '66 and Alyce Rubinfeld-Fox '82 were invited to re-join the Foundation after being off the board for one year or more years as required under the bylaws. They previously served two consecutive terms as Trustees.

Out of the 20 positions, 10 seats are appointed by the Directors, and 10 seats are elected by the Trustees. As shown in the attached Appointed/Elected Allocation chart, the overall balance remains at 50% appointed by the Directors, plus 1, as mandated in the Operating Agreement between the College and the Foundation.

It will be recommended at the June 17, 2016 Board of Directors general meeting that the (10) Board-appointed positions on the Foundation board be approved. (Please see Appointed/Elected Allocation chart for appointed position allocations)

The Nominating Committee, chaired by Debra Bogaards '81, conducted an intensive review of potential candidates. The criteria included identifiable leadership, demonstrated affinity and giving capacity, and diversity in geography, practice area/occupation, ethnicity and gender.

All the nominees were recommended by Trustees, Directors, administrators or staff. The nominees were personally briefed by members of the Nominating Committee regarding board responsibilities, including the minimum annual gift requirement of \$5,000 starting FY2016.

**PROPOSED RESOLUTION:**

The general acceptance of the FY16-19 newly appointed Trustees contingent on the approval of the Board of Directors at its June 17, 2016 meeting.

A – Frank W. Busch III '00  
A – Kevin Cholakian '81  
A – Dana Cole '79  
A – Sasha Cummings '97  
A – John D. Fiero '88  
A – J. George Hetherington '78  
A – Deborah Lopez '83  
A – James Mahoney '66  
A – James J. Mullen III '97  
A – Yuri Vanetik '98

**Attachments:**

- Biographies for each of the nominees
- Appointed/Elected Allocation Chart

**Slate of FY16-17 New & Renewing Foundation Trustees Recommended for Appointment by the Board of Directors**



**Frank W. Busch III '00**

Frank W. Busch III is an entrepreneur and investor with experience in a variety of industries. He founded Thomas Title & Escrow in 2006. The firm provides commercial title and corporate escrow services throughout the U.S. and, through its affiliate TLA, in Latin America. Prior to founding Thomas Title, Frank served as Corporate Counsel of a \$4 billion bank holding company, where he was primarily responsible for advising the bank and its affiliates on M&A, regulatory matters, credit decisions and structuring supplier relationships. He was also a corporate and securities lawyer in the Phoenix office of Snell & Wilmer L.L.P., one of the largest firms in the Western US. He advised public and private companies in M&A, debt and equity issuances, securitizations and venture capital financings.

Prior to Snell & Wilmer, Frank worked in the Mexico City office of Baker & McKenzie. He is active on a number of civic and philanthropic boards, including Gen Next, the North Dallas Chamber of Commerce and the Corporate Advisory Council of the Dallas Arboretum.



**Kevin K. Cholakian '81**

Kevin K. Cholakian, born and raised on his family's farm in Central San Joaquin Valley, originally began with a career in classical music in mind, attending on scholarship as a senior in high school North Carolina School of the Arts in Winston Salem, N.C (now part of UNC), then attended San Francisco Conservatory of Music on Ford Foundation Scholarship (French Horn) for his first two years of college. He ultimately changed majors and completed his undergraduate degree at CSUF, where he graduated Magna Cum Laude in Philosophy in 1976.

From 1976-1978 Kevin served as legislative/administrative assistant to California's first woman State Senator, Rose Ann Vuich, wherein he was responsible for her Central California offices and Sacramento legislative office.

Kevin received his JD from UC Hastings in 1981, and while there served as Associate Executive Editor of Hastings Communications and Entertainment Journal. He was a scholarship recipient.

Kevin began his legal career in 1981 as a litigation associate at Littler, Mendelsohn (where he met his wife Marguerite) and then McCutcheon, Bingham. Subsequently, and after becoming a name partner and managing the insurance defense practice of a 25 lawyer downtown SF firm from 1988-1999, he began Cholakian & Associates, in January 2000, with offices in San Francisco, Sacramento and Fresno, represents small businesses and governmental entities primarily in civil tort defense and environmental litigation. Twice the recipient of the Farmers/Zurich Gladiator of the Year trial attorney award, he has been a national panelist on product and tort liability cases and is regularly called in to defend many of the highest exposure tort cases in



Northern and Central California. He has been a SuperLawyer for eight straight years and has been asked to serve on Blue Ribbon panel that makes recommendations on nominations for that organization.

He serves as President of San Francisco Defense Association, San Francisco's oldest attorney civil defense association.

Kevin lives with his family on the Peninsula, which includes a daughter who is a freshman in high school and son who is a junior in college on the East Coast.



#### **Dana Cole '79**

A lifelong resident of Beverly Hills and Century City attorney for 34 years, Dana specializes in criminal defense and also does civil litigation. He has conducted over 135 jury trials in state and federal courts in Los Angeles County and numerous other jurisdictions. Dana obtained a dismissal of the co-defendant in the Robert Blake murder case and has represented various clients in high profile matters. Since 2004, he has been a legal analyst for ABC News, appearing frequently on *Good Morning America* (250+ appearances), *World News Tonight*, *Nightline* or *KABC Eyewitness News*, commenting on high profile or celebrity cases. Dana earned a journalism degree from UC Berkeley ('76). He is married to Lisa Hart Cole ('80), a Los Angeles Superior Court Judge assigned to Complex Cases. They have two children attending law school.



#### **Sasha Cummings '97**

Sasha M. Cummings is a partner in the General Liability practice group of Lewis Brisbois Bisgaard & Smith LLP. Her litigation practice focuses on complex matters, including catastrophic injury, products liability and premises liability. She has substantial experience with immediate accident response and on site OSHA investigations. Sasha is a graduate of Wesleyan University, earning a Bachelor of Arts in Psychology and Sociology in 1992. She earned her Juris Doctor from UC Hastings in 1997.

Sasha serves as a Judge Pro Tem for San Francisco County Superior Court and Marin County Superior Court. She also volunteers as a Mandatory Settlement Conference Officer for San Francisco County Superior Court and as a Mandatory Settlement Conference Panelist for Marin County Superior Court. She taught as an Adjunct Professor for the Paralegal Certificate and Law Studies Program at Saint Mary's College of California and has been involved with various professional organizations including California Women Lawyers, California Minority Counsel Program, Marin County Bar Association, Asian American Bar Association and African American Partners of the San Francisco Bay Area.

Sasha lives in San Francisco and enjoys sampling new restaurants (and bakeries) and visiting Bay Area museums like the new SFMOMA.



**John Fiero '88**

John Fiero is a partner in the San Francisco office of Pachulski Stang Ziehl & Jones LLP, where he serves as a co-chairman of the firm's creditor committee practice group and maintains a national practice representing debtors, committees, acquirers, and other significant parties in interest in complex bankruptcies, corporate reorganizations and financially distressed situations.

He is a graduate of the University of Massachusetts at Amherst and received his J.D. from the University of California, Hastings College of the Law, where he was associate note editor for *COMM/ENT Hastings Communications and Entertainment Law Journal*.

Every year since 2004, John has been named a Super Lawyer by *San Francisco Magazine*. He is also credited for his "highly constructive approach" in *Chambers USA's* list of leading bankruptcy and restructuring lawyers, and in 2013 and 2014 was named among Northern California's best lawyers for his work in bankruptcy and creditor-debtor rights law.

John and his wife, Julie (a teacher), live in Orinda. Their two daughters are out of the house, and currently enrolled in college.



**J. George Hetherington '78**

J. George Hetherington is a 1978 graduate of UC Hastings. Upon his graduation, he joined the Honolulu law firm of Torkildson, Katz, Jossem and Loden, which has been a fixture in the Hawaii legal community since 1947. Today, George is the President of the firm, now called Torkildson, Katz, Moore, Hetherington & Harris, and Chair of its Corporate Department. George's practice is focused in the areas of corporate and health care law.

George's corporate practice revolves around mergers, acquisitions, capital formation, securities law, joint ventures and contract negotiations for a diverse array of Hawaii-based and national and international companies doing business in Hawaii.

In his health care practice, George represents a number of hospitals, long-term care facilities, medical groups, community health centers, ambulatory surgery centers, diagnostic imaging centers, and other health care providers in business transactions, certificate of need, tax-exemption, Medicare/Medicaid reimbursement, fraud and abuse, compliance and regulatory matters.

George's extensive background in the health care field has also given him opportunities to participate as principal in several health care ventures over the years, such as developing outpatient cancer treatment centers in Asia, owning a chain of dental clinics and, most recently, as part of an investment group which was awarded a medical marijuana dispensary license by the State of Hawaii in a highly competitive application process.

George was actively involved with other Hawaii Hastings alumni in establishing the UC Hastings Hawaii Scholarship Fund to provide financial assistance to deserving students from Hawaii who wish to attend UC Hastings and is currently working on a follow-up fundraiser benefitting the Scholarship Fund, scheduled for September 2016, which will honor prominent Hastings alumni in the Hawaii business and legal communities.

George's wife Cheryl is a 1979 graduate of UC Hastings. Retired from the practice of law, Cheryl spends much of her time on community service activities and has chaired numerous fundraising events in Honolulu for a variety of organizations involved in the arts and social services. George and Cheryl have two children: Their son Alex works in Washington D.C., as a special assistant to Congressman Mark Takai (Hawaii). Their daughter Katy just graduated from Occidental College in Los Angeles and she intends to attend law school in the Fall of 2017.



### **Deborah Lopez '83**

Deborah Lopez has been a licensed Realtor in San Francisco since 1977 when she got her license to support herself and her two young children as a single mom while she attended UC Hastings College of the Law from 1980-1983. She currently works as a Broker Associate with Paragon Real Estate Group and has been a top producing agent (top 5% in San Francisco) throughout her career. Deborah got her BA in 1970 from UC Berkeley after transferring from the University of Michigan. Prior to beginning her career as a Realtor and entering Hastings, she studied towards an M.A. in Early Childhood Education at San Francisco State and taught pre-school and

parent education. She helped organize and obtain funding for the Mission Model Cities Childcare Program.

Deborah was Editor-in-Chief of the Hastings Law Journal and graduated Order of the Coif and Thurston Society. While Editor of the Journal, Deborah ran the first alumni fundraising campaign raising enough money to buy the Journal's first computers in 1982. She then practiced corporate litigation and real estate transactional law for 3 years with Pillsbury Madison & Sutro (Pillsbury Winthrop Shaw Pittman) and went on to become the liaison to the City Attorney's Office and the Manager of Claims and Contracts for the General Manager of the San Francisco Public Utilities Commission.

Deborah left the PUC to become the "Queen of Trash" – Vice-President and San Francisco Regional Manager of then Norcal Waste Systems (Recology). Responsible for all of the waste management operations in San Francisco, She successfully integrated the recycling program into the rate structure of the companies enabling it to become a key part of the Zero Waste program of the City and County.

Deborah left Norcal to travel, scuba dive and live in South America where she met and married her second husband, Jorge. After developing a bed and breakfast and a land reforestation project in Ecuador and becoming fluent in Spanish, Deborah returned to a full-time real estate career in San Francisco in 1998. She is a Certified Residential Specialist (a designation held by fewer than 4% of Realtors nationally), a Certified International Specialist and holds a GREEN designation from the National Association of Realtors. But, her favorite designation since December 2011 is "Grandma" to her darling granddaughter.



**Jim Mahoney '66**

Jim Mahoney practices primarily in the area of real estate, devoting half of his time to complex commercial transactional matters, including the creation of real estate and related agreements. The other half of his time is devoted to commercial litigation and dispute resolution, including disputes relating to contract, real estate, and corporate matters. He is a member of the Maritime Law Association and practices maritime law.

Jim also has acted as a mediator for the Los Angeles Superior Court and as a private mediator in commercial matters. Jim received his JD from UC Hastings, where he served on the board of directors, having been appointed by Governor Deukmejian in 1985 and reappointed by Governor Wilson in 1997. In 2010, UC Hastings honored him, noting that "Few people have contributed to UC Hastings as tirelessly and generously as James Mahoney." (Click for spread from spring 2010 alumni magazine.) He holds an AV Peer Rating, Martindale-Hubbell's highest peer recognition for ethical standards and legal ability.



**James J. Mullen, III '97**

James Mullen is a partner at Morrison & Foerster LLP and leads the patent group of the firm's San Diego

office. His practice includes strategic patent procurement, general counseling on infringement issues, and intellectual property due diligence studies. He also provides counseling on post-issuance proceedings, including *inter partes* review and reexamination proceedings, and periodically participates in patent litigation.

Dr. Mullen counsels clients pursuing inventions in a variety of biotechnology-related areas, including molecular diagnostics, clean technology, cancer therapeutics and diagnostics, infectious disease therapeutics, small molecule screening protocols, and those seeking protection for novel nucleic acid and amino acid sequence protection.

Dr. Mullen was recognized as a "Life Sciences Star" by *LMG Life Sciences* (2014). He was also recognized by *Legal 500* (2014–2015) in the area of patent prosecution. He was listed as a 2015 Top Attorney Finalist by the *San Diego Transcript* and the 2014 inaugural issue of the *San Diego Business Journal's* Best of the Bar list named Dr. Mullen one of the most outstanding lawyers in San Diego. In 2008 and 2010, *San Diego Daily Transcript* named Dr. Mullen as a Top Attorney in Intellectual Property (Transactional).

Prior to graduate school and law school, Dr. Mullen worked in various laboratories at Hybritech, Inc., a pioneer in the development of monoclonal antibodies, and at the Research Institute of Scripps Clinic.

While earning his J.D., Dr. Mullen was an Associate Production Editor for the UC Hastings College of the Law Communications and Entertainment Journal.

#### Education

University of California, San Diego (B.A., 1988)

University of Texas, Houston (Ph.D., 1994)

University of California, Hastings College of the Law (J.D., 1997)





### **Yuri Vanetik '98**

Yuri Vanetik is an American financier and political coalition builder. He is the founding partner of Vanetik International, LLC, a management consulting firm based in Nevada which offers advisory services and strategic planning to businesses and industries around the world. He is considered an expert in capital formation and mergers & acquisitions. To his role in advising financial firms nationally and internationally, Mr. Vanetik brings years of experience in securities law, corporate governance, business strategy, and financial planning.

Yuri is also a Co-Founder and Managing Director of Dominion Partners, a technology-driven opportunity real estate fund that invests in undervalued real estate throughout the United States. Dominion seeks out properties that show promise, based on location and other characteristics, but that exhibit problems related to physical condition, capital structure, market position, tenancy, or management in general – problems that can be corrected to deliver superior value.

In his role as Managing Director, Yuri is responsible for managing the financial policies and strategic growth of Dominion Partners. His responsibilities include overseeing equity financing and joint ventures, and raising capital.

Yuri earned his B.A. in Legal Studies with a focus on Political Science, History, and Philosophy in 1991 from the University of California, Berkeley, where he graduated Summa Cum Laude and Phi Beta Kappa, and was the class valedictorian. In 2001 he graduated from the Anderson Business School at UCLA where he studied management. Yuri completed further graduate research in political philosophy at Oxford University in 1993. He earned his J.D. in 1998 from

the University of California, Hastings, where he was the President of the International Law Society, and received the coveted American Jurisprudence Award.

Yuri is on the board of Artstory Advisors, an art advisory and interior design business. Artstory Advisors is the brainchild of Kristina Semenovskaia, who is committed to providing clients with unique insight into collecting and decorating. He has a strong passion for art and enjoys having the opportunity to provide insight and help alter people's lives.

In addition to his professional endeavors, Yuri is a committed philanthropist and political activist. He supports the arts, libertarian-leaning think tanks and related policy institutions. He is on the board of directors of the Orange County Performing Arts Center. He is the Lincoln Fellow with the Claremont Institute, and has served on the Executive Committee of the Board of Directors of the American Red Cross. Yuri is also an avid wine lover and connoisseur.

## UC Hastings Foundation Appointed/Elected Allocation

Name	Term Expiration / Renewal	Current / Past Officers	Appointed by Board of Directors	Elected by Board of Trustees
<b>EXECUTIVE COMMITTEE</b>				
Robert Sall '78	2017	President		✓
Eric Wersching '03	2017	1 <sup>st</sup> VP		✓
Debra Bogaards '81	2018	2 <sup>nd</sup> VP Nominating Committee Chair		✓
Deborah Lopez '83	2019	Secretary	✓	
Constance Kim '03	2018	Treasurer		✓
Paul Yong '88	2017	Fundraising Chair (AD Hoc)		✓
Tom Gede '81	2019	Board of Directors Chair & Foundation Liaison		✓
	<b>7</b>		<b>1</b>	<b>6</b>
<b>BOARD OF TRUSTEES</b>				
Khaloun Baghdadi '97	2017		✓	
Scott Elliott '92	2017		✓	
Mary Ferrer Hansbury '97	2017		✓	
Tracy Jolles Holland '97	2017		✓	
Steve John '00	2017		✓	
Jeffrey T. Makoff '85	2017		✓	
Nancy Miller '78	2017			✓
Mary Creer Richardson '97	2017			✓
Carl "Chip" Robertson '98*	2017	Past (BOT) Officer		✓
Scot A. Sher '97	2017			✓
	<b>10</b>		<b>6</b>	<b>4</b>
<b>BOARD OF TRUSTEES</b>				
Somnath Raj Chatterjee '94	2018			✓
Peter Engstrom '85	2018		✓	
Robert Freitas '77*	2018	Past Officer		✓
Paula Leibovitz Goodwin '79	2018		✓	
Jennifer Keller '78	2018			✓
Jessica Leal '09	2018		✓	
Scott Morris '76	2018		✓	
Rosanna Neagle '81	2018		✓	
Sandi Nichols '81	2018		✓	
Veronica Rossman '97	2018			✓
	<b>10</b>		<b>6</b>	<b>4</b>

## UC Hastings Foundation Appointed/Elected Allocation

Name	Term Expiration / Renewal	Current / Past Officers	Appointed by Board of Directors	Elected by Board of Trustees
<b>BOARD OF TRUSTEES</b>				
Frank W. Busch III '00	2019		✓	
Kevin Cholakian '81	2019		✓	
Dana Cole '79	2019		✓	
Sasha Cummings '97	2019		✓	
Elizabeth England '78	2019			✓
John D. Fiero '88	2019		✓	
Tom Gede '81	2019			✓
Dennis Ghan '77	2019			✓
Thomas H. Gibson III '75	2019			✓
J. George Hetherington '78	2019		✓	
John Koeppe '76	2019			✓
James Mahoney '66	2019		✓	
Leo Martinez '78*	2019	Past Officer		✓
James J. Mullen III '97	2019		✓	
Jane Pandell '81 *	2019	Past Officer		✓
Basil Plastiras '75 *	2019	Past Officer		✓
Alyce Rubinfeld Fox '82	2019			✓
Mai Shiver '92	2019			✓
Yuri Vanetik '98	2019		✓	
	<b>19</b>		<b>9</b>	<b>10</b>
<b>Ex Officio Member (+1)</b> <i>Dean David L. Faigman</i>	<b>1</b>		<b>1</b>	
<b>TOTAL MEMBERS</b>	<b>46 (+1)</b>		<b>22(+1)</b>	<b>24</b>

*Notes:*

- *Past Officers and board members are “elected” by the Board of Trustees*
- *Members are “appointed” by the Board of Directors*
- *2 consecutive (3 year) term limits applicable, unless Past Officer exemption*

*\*Past Officer*

**REPORT ITEM**

- 1. REPORT BY:** Thomas Gede, Chair of the Chancellor & Dean Search Committee
- 2. SUBJECT:** Chancellor & Dean Search Update
- 3. REPORT:** Oral



Agenda Item: \*11  
Advancement and Communications  
August 11, 2016

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE**

Meeting adjourned at \_\_\_\_:\_\_\_\_ p.m.